

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mutsuo MORIKADO
U.S. Serial No. : Not Yet Assigned
Filing Date : March 16, 2004
For : ***FIN SEMICONDUCTOR DEVICE AND METHOD FOR
FABRICATING THE SAME***
Group Art Unit : Not Yet Assigned

745 Fifth Avenue
New York, New York 10151

EXPRESS MAIL

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Date of Deposit: March 16, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: **Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Saddam Ahmed
(Typed or printed name of person mailing paper or fee)

S. Ahmed
(Signature of person mailing paper or fee)

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Examiner's attention is respectfully invited to review the following enclosed and listed documents which are also listed on the accompanying Form PTO-1449, enclosed in duplicate.

U.S. PATENT DOCUMENTS

1. U.S. Patent No. 6,413,802 B1, Issued: July 2, 2002, to Hu et al.

FOREIGN PATENT DOCUMENTS

1. Japanese Patent Laid-Open No. 8-139325, Published: May 31, 1996, to Ushiku et al.; and
2. Japanese Patent Laid-Open No. 11-68069, Published: March 9, 1999, to Iwasa et al.

REMARKS

Pursuant to Rule 37 C.F.R. §1.97(b)(3), an Information Disclosure Statement shall be considered by the Patent Office filed before the mailing date of a first Office Action on the merits.

This Information Disclosure Statement is not a representation that the documents cited herein are considered most pertinent, or that a search has been undertaken, or that the cited documents are indeed prior art. The Examiner is invited to undertake an independent search. Applicant asserts that the claimed invention is patentable over these documents.


Applicant respectfully requests that the Examiner consider and make of record the documents cited herein and that a copy of Form PTO-1449, appropriately initialed by the Examiner, be returned to Applicant's attorney.

It is believed no fee is due, however, the Examiner is authorized to charge any deficit or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicant

By:



Grace L. Pan

Registration No. 39,440

Tel. (212) 588-0800

Fax (212) 588-0500

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| Based on Form PTO-1449 (3/90) LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | | | ATTY. DOCKET NO. | | SERIAL NO. | |
| | | | | 790001-2045 | | Not Yet Assigned | |
| | | | | APPLICANT | | | |
| | | | | Mutsuo MORIKADO | | | |
| | | | | FILING DATE | | GROUP | |
| | | | | March 16, 2004 | | Not Yet Assigned | |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-----------------|--------------|--------|-----------|----------|-------------------------------|--|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | AA | 6,431,802 B1 | 7/2/02 | Hu et al. | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | | |
| | | | | | | YES | NO | |
| | AB | 8-139325 | 5/31/96 | Japan | | | X | |
| | AC | 11-68069 | 3/9/99 | Japan | | | X | |
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| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | |
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| | | | DATE CONSIDERED |

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.